

Exeter City Council Corporate Risk Register	Inherent Risk (impact x likelihood)			What has been done to control risks (i.e. countermeasures)	Residual Risk (impact x likelihood) & Risk Priority				What needs to be done to control risks	Target date(s)	Responsible officer/s	Strat Obj ref	Change
	I	L	Tot		I	L	Tot	H M L					
3. Social													
3. Application to register village green a) Risks & threats: <ul style="list-style-type: none"> • Current application succeeds • Application succeeds in respect of another site Consequences: <ul style="list-style-type: none"> • Prevention of delivering Exhibition Way link, 3.3ha of Council-owned employment land & adequate transport provision for 600 homes • Prevention of delivering Council-owned potential housing sites 	1	2	2	<ul style="list-style-type: none"> • Contested the current application • Specialist legal advice & representation arranged 	1	1	1	L	<ul style="list-style-type: none"> • Continue to contest this application • Appear at public inquiry • Contest other applications if so advised 	<ul style="list-style-type: none"> • Awaiting inquiry date for current application • Ongoing potential for further applicat'ns 	AD City Development	2 4 5	New
8. Financial													
10. Assets of community value: a) Risks & threats: <ul style="list-style-type: none"> • High public expectations • Compensation liability to owners Consequences: <ul style="list-style-type: none"> • Council seen as failing to protect local assets • Financial loss b) Benefits &	2	4	8	<ul style="list-style-type: none"> • Monitor progress of primary & secondary legislation and guidance 	2	4	8	M	<ul style="list-style-type: none"> • Publicity to manage expectations of the scheme • Budgeting for compensation liability • Streamline processes to minimise administrative burden on landowners & consequent compensation liability 	<ul style="list-style-type: none"> • Awaiting Regs 	AD City Development AD Finance	1 2 3	New

opportunities: • Council seen protecting assets where community groups succeed													
17. Planning appeals a) Risks & threats: • Significant award of costs against the Council Consequence: • adverse effect on the Council's budget • inability to meet targets & standards	4	4	16	• Officers advise Members if potential risk at Planning Committee • Treatment of telecom applications amended following award • Core strategy adopted to secure housing supply	4	1	4	L	• Active monitoring of officer reports & appeals by Development Manager & Planning Solicitor • Involvement of members in any decisions to refuse • Proceed to adoption of community infrastructure levy as soon as possible	• Ongoing • Ongoing • Ongoing	AD City Development	7 8	↓
23. EU financial sanctions: a) Risks & threats: • Council financially liable for breach of EU law Consequences: • Significant fines	4	2	8	• LGA worked to secure safeguards, including parliamentary scrutiny on a case-by-case basis	4	1	4	L	• Raise awareness among managers of potential liability • More scrutiny of new EU legislation by & on behalf of local authorities	• Awaiting Regs	SMT	6 7	New
25. New homes bonus: a) Risks & threats: • Receipts less than anticipated Consequences: • Funding shortfall for infrastructure	3	1	3	• Monitor likely amounts & corresponding reduction in formula grant • Avoid committing funds until amounts are certain	3	1	3	L	•	•	AD City Development AD Finance	1 2 3	New

10. Partnerships/contractual													
5. Leisure management contract a) Risks & threats: • Contractor goes into liquidation • Contract terminated due to poor performance Consequences: • leisure facilities close	2	2	4	• Full assessment of procurement submission & method statements undertaken • Assessed company's financial credit rating • Regular monitoring of company's performance & financial accounts	2	1	2	L	• Continue monitoring company's performance & financial accounts • All sites to achieve Quest accreditation	• Ongoing • Mar 12	Leisure Facilities Manager AD Finance AD Housing & Contracts		New

• operating costs incurred														
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11. Competitive													
<p>2. Community right to challenge</p> <p>a) Risks & threats:</p> <ul style="list-style-type: none"> • Transfer of all or part of a service <p>Consequences:</p> <ul style="list-style-type: none"> • increased overheads • staff redundancies <p>b) Benefits & opportunities:</p> <ul style="list-style-type: none"> • efficiency savings • innovative service delivery • local involvement in service provision 	2	3	6	<ul style="list-style-type: none"> • Monitor progress of primary & secondary legislation & guidance 	2	3	6	M	<ul style="list-style-type: none"> • Prepare early schedule of relevant services • Produce detailed guidance & criteria for assessing proposals • Consider periods for expressions of interest & other procedural timescales • Construct robust governance arrangements 	<ul style="list-style-type: none"> • Apr or Oct 2012, depending on Regs 	AD Housing & Contracts Corp Manag'r Policy All ADs	2 4 5	New